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Christine Min, J.D.

Senior Regulatory Consultant

Financial Services; Global Regulations; Recovery and Resolution Planning;
Financial Market Utilities; Business Development; Data Management, RegTech

Executive Summary

- Project manager experienced in regulatory change management within complex financial systems.
- Experienced in international financial operations, securities and banking laws, as well as data integration and reporting.

Relevant Experience

- Global FMU Contracts Project at Global Systemically Important Bank (G-SIB)
 - Researched, procured, analyzed data and legal documents from front office. Managed review of documents for data collection of relevant data points. Translated data into narrative for reporting to the Federal Reserve. Developed operational alternatives in stress scenarios.
 - Contributed to business requirements document for a strategic application to be used to meet regulatory requirements from the Dodd Frank Act.
- Global FMU/RRP Project at Global Systemically Important Bank (G-SIB)
 - Contributed data to 2016 and 2017 RRP Submission.
 - Created data points to extract based on scope given by project manager. Used Excel to best meet manager's needs. Reviewed contracts for data points.
- RegTech: Counterparty Due Diligence Project
 - Created use-cases across three work streams to automate counterparty onboarding validation for AML/KYC compliance and mitigate the need for third-party verification.
 - Directed the training of new employees in data modeling software through three intensive modules.
 - Managed new employees in the development of data models based on hedge fund regulation in various jurisdictions.
 - Developed use cases for proprietary rules engine on various financial regulations, including: MiFID II, Investment Company Act and IRS 871(m).
- Comprehensive Capital Analysis and Review (CCAR) Presentation
 - Prepared infographic explaining parts of CCAR and complementary Dodd-Frank Act Stress Testing regulations to other consultants.
- Bank Secrecy Act/Anti-Money Laundering (BSA/AML) Presentation
 - Created outline of BSA/AML implementation procedures and explained concepts in simplified form to senior consultants.
- NYC Department of Small Business Services 2014 - 2015
Independent Data & Legal Consultant
 - Evaluated progress reports from recipients of City Council initiative funding. Performed budget review and evaluated efficiency of expenditures. Managed assessment of initiative's social and economic impact. Identified and tracked metrics from group programs. Prepared report for presentation to Council.
 - Created digitized document system for the purpose of having documents be searchable and retrievable for government regulatory requests. Determined which metadata fields were highest priority under the budget
 - Led redesign of documents retrieval system for easier accessibility by regulators. Managed relationships across different departments and managed a team with subject matter experts in



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information management, technology, design and key stakeholders interested in the final product.

- Attended finance committee meetings for corporations associated with the City.
- Updated corporate documents and advised non-profit corporations based on changes created by NY Non-Profit Revitalization Act.
- Evaluated economic development programs for possible adverse litigation and disputes.

Skills

- Microsoft Office, including Access, Excel pivot tables; v-lookup
- Project Management, including Agile and Waterfall
- PowerPoint Project Updates, Steering Committee Decks
- HTML & CSS, STATA

Education

- 8of9 Executive Training Program
 - Recovery and Resolution Planning, CCAR, AML/KYC, FATCA, Basel, Margining Requirements, BRRD, MiFID, ISDA, and more.
- J.D., Brooklyn Law School, 2014
- B.A., Dartmouth College, 2010