



8of9

Alexander Appugliese, J.D.
Regulatory Consultant
Financial Services; Global Regulations

- NYC Program on Finance & Law May 2017
Participant
 - Exposure to current industry practices, products and procedures in financial regulation; projects included restructuring a company for bankruptcy, analyzing various companies for potential M&A opportunities, presenting information to legal professionals about marketplace lending, securitization, and consumer protections.

- Celant Innovations 2016
Legal Intern
 - Examined SEC filings on EDGAR focusing on debentures and indentures.
 - Gathered data and wrote algorithms to assist in creation of legal, financial, accounting programs. Updated and adapted the terms of use for new products.

- Nationstar Mortgage 2012-2013
Treasury Accountant
 - Solved unique problems created by new accounting laws and regulations during a period of high growth for the company. Analyzed processes over multiple projects and developed new processes to improve quality.
 - Acted as a control for several departments to ensure operations were accurate and appropriate.
 - Conducted daily audit of several accounts, over 1,000,000 loans and resolved emerging issues.
 - Designed and maintained reports distributed to lending banks to meet loan covenants.
 - Acted as intermediary between treasury and accounting to ensure money flows correctly.
 - Reconciled various accounts for team members to meet strict month end deadlines.

Education:

- 8of9 Executive Training Program
 - Recovery and Resolution Planning, CCAR, AML/KYC, FATCA, Basel, ISDA, FATCA, Basel and more. Training in Credit, Legal, Audit, Compliance, Operations, Onboarding and Collateral Management
- J.D., SUNY Buffalo Law School, May 2017
- B.A., Texas Tech University, June 2008

Skills:

- SAP, Swift, Microsoft Dynamics, Microsoft Access, Lotus Notes
- Microsoft Office, including Advanced Excel, pivot tables, v-lookup; LexisNexis®
- Project Management, including Agile and Waterfall
- PowerPoint Project Updates, Steering Committee Decks