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Mary Kopczynski, J. D./Ph.D.

CEO & Founder

Financial Services; Global Regulations; Recovery and Resolution Planning; FINRA 4210; Data Management
Greater New York City Area

Executive Summary

- Driven, results-oriented RegTech CEO with unique background of regulatory change management, technology, and impact investing. Consistent delivery of measurable value to the bottom-line for institutions facing regulatory change.
- Powerful networker with the ability to develop and leverage new relationships. Known thought leader in the area of structured finance and its application to philanthropic endeavors.

Selected Key Projects

- Resolution and Recovery Planning (RRP)
Multiple iterations and spin off projects related to RRP, including Financial Market Utilities (FMU) Analysis and Regulatory Response (including SRP)
- Contract Lifecycle Management
Expert in multiple industry-tested CLM tools, including their use to face RRP-requirements such as cross-default analysis, ISDA Resolution Stay Protocol (IRSP), Universal Resolution Stay Protocol (URSP), Qualified Financial Contracts and BRRD Article 55
- Basel Capital Requirements
Multiple projects connecting financial exposure records to operation engines for netting, collateral enforceability, RWA reduction and other-related regulatory requirements
- SPE Collateral Enforceability Project
Coordinating legal review of complex structured deals; assisting with technology remediation so that financial flows would properly feed and report downstream
- FATCA Analysis Project
Assessing legal and economic risk associated with the Foreign Account Tax Compliance Act; devising strategy for registration of complex legal entities, full investment bank training and Control Framework design
- Margining Projects
Industry leader in both TMPG and FINRA 4210 changes to the TBA market. Well-versed in IOSCO and other BCBS rules



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Skills

- Leadership
- Creativity
- Turning regulatory hot potatoes into French fries

Prior Employment:

- Credit Suisse Securities (USA) LLC, New York, NY; June 2007-June 2008
 - LEGAL CLERK (Fixed Income Derivatives/Collateralized Debt Obligations Group of the Legal and Compliance Department), Analyzed international credit derivative contracts; developed solid understanding of private international financial systems, especially the instruments which caused the current financial crisis; wrote memos on various international financial legal policies (international tax law, Cayman Islands bankruptcy law)
- Fragomen, Del Rey, Bernsen & Loewy, New York, NY; December 2006-April 2007
 - IMMIGRATION LAW CLERK, Prepared nonimmigrant visa applications for filing with the U.S. Citizenship and Immigration Service
- NJ Office of Administrative Law, Newark, NJ; June 2006-December 2006
 - PUBLIC UTILITIES LEGAL INTERN, Supported administrative law judges in public utilities research, including energy mergers, rate changes, and cable access cases; summarized legal implications resulting from the passage of the Energy Policy Act of 2005, including its impact on regional transmission organizations and the environment
- Central International Law Firm, Seoul, Korea; Summer 2005
 - LEGAL INTERN, Reviewed trademark and patent documents; drafted office memos regarding U.S. law

Education:

- Ph.D., Global Affairs – Rutgers, The State University of New Jersey-Newark (2004 – 2009)
- J.D., Law – Rutgers University School of Law - Newark (2005 – 2008)
- B.A., English & Classical Studies – Santa Clara University (1996 – 2000)

Publications

- Living Will: Roadmap for a Better Future – Forbes.com – November 4, 2014
- Philanthropy and Impact Investing: Stormy Seas, Rocky Shores - Stanford Social Innovation Review - September 17, 2014
- Don't Just Lean In, Speak Up - Forbes.com - August 29, 2013
- iThink, Therefore iCan - Forbes.com - October 11, 2013
- Robin Hood Versus The Bullies: Software Piracy and Developing Countries - Rutgers Computer & Technology Law Journal Vol. 33 Nbr. 2, June 2007
- The Haves Coming Out Behind: Galanter's Theory Tested on the WTO Dispute Settlement System - 8 ASPER REV. OF INTL. BUS. & TRADE LAW 25 (2008)



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Academic Honors

- *First Prize Winner, 2007 Nathan Burkan Memorial Writing Competition*
- *Outstanding Legal Scholarship Award, 2008 Faculty of Rutgers School of Law – Newark*
- *Graduate Student Excellence Award, 2009 (First student to pursue a joint degree in Law and Global Affairs at Rutgers University)*
- *Nominated for Dean's Dissertation Award, 2009*
- *Wittes Scholar, Rutgers Law School 2007-2008 (for trailblazing the J.D./Ph.D. program)*
- *Passed July 2008 Bar Examination, New York State, Admitted to New York*
- *Classics Student of the Year Award, 2000 (voted for by Santa Clara faculty)*
- *Buck Bannan Student Service Award, 2000 (for Fundraising at Santa Clara)*

Leadership / Volunteer Positions

- *Board Member, Glamour Gals (non-profit focused on bringing seniors in assisted living communities together with seniors from high school)*
- *Rutgers-Law Newark Student Bar Association, Class Rep, Secretary, then Vice President, 2004-2008*
- *Managing Notes Editor, Rutgers Computer and Technology Law Journal, 2005-2007*
- *Alumni Board Member, Eastside Catholic High School, 2003-2005*
- *President, Phi Phi Chapter, Sigma Tau Delta, 1999-2000 (English Honor Society, Santa Clara University)*
- *President, Epsilon Psi Chapter, Eta Sigma Chi, 1999-2000 (Classics Honor Society, Santa Clara University)*

Contact Information

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