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## Christine Min, J.D.

Regulatory Consultant

Financial Services; Global Regulations; Recovery and Resolution Planning; Financial Market Utilities; Data Modeling; Data Management, RegTech  
Greater New York City Area

### Executive Summary

- Business analyst experienced in regulatory change management within complex financial systems.
- Well versed in project management, as well as data integration and reporting.

### Selected Key Projects

- FMU/RRP Project at systemically important financial institution
  - Extrapolated relevant data points from various regulations. Used Excel to best meet manager's needs. Reviewed contracts for data points.
- RegTech: Counterparty Due Diligence Project
  - Created use-cases across three work streams to automate counterparty onboarding validation for AML/KYC compliance and mitigate the need for third-party verification.
  - Managed new employees in the development of data models based on hedge fund regulation in various jurisdictions.
  - Developed use cases for proprietary rules engine on various financial regulations, including: MiFID II, Investment Company Act and IRS 871(m).
- Comprehensive Capital Analysis and Review (CCAR) Presentation
  - Prepared infographic explaining parts of CCAR and complementary Dodd-Frank Act Stress Testing regulations to other consultants.
- Bank Secrecy Act/Anti-Money Laundering (BSA/AML) Presentation
  - Created outline of BSA/AML implementation procedures and explained concepts in simplified form to senior consultants.

### Skills

- Microsoft Office, including Excel pivot tables; v-lookup
- Project Management, including Agile and Waterfall
- PowerPoint Project Updates, Steering Committee Decks
- HTML & CSS, STATA

### Prior Employment:

- NYC Department of Small Business Services, New York, NY 2014-2015  
Consultant
  - Evaluated progress reports from recipients of City Council initiative funding. Performed budget review and evaluated efficiency of expenditures. Managed assessment of initiative's social and



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- economic impact. Identified and tracked metrics from group programs. Prepared report for presentation to Council.
- Created digitized document system for the purpose of having documents be searchable and retrievable for government regulatory requests. Determined which metadata fields were highest priority under the budget.
- Led redesign of documents retrieval system for easier accessibility by regulators. Managed relationships across different departments and managed a team with subject matter experts in information management, technology, design and key stakeholders interested in the final product.
- Attended finance committee meetings for corporations associated with the City.
- Updated corporate documents and advised non-profit corporations based on changes created by NY Non-Profit Revitalization Act.
- Evaluated economic development programs for possible adverse litigation and disputes.

## Education:

- 8of9 Regulatory Executive Education Program
  - AML/KYC, Basel, BRRD, Capital Markets, Capital and Collateral Management, CCAR, EMIR, FATCA/GATCA, ISDA, MiFID, Project Management and Planning, Recovery and Resolution Planning, SPV/SPE, TMPG Margining/FINRA 4210.
- J.D., Brooklyn Law School, 2014
  - International Law Journal, Notes and Comments Editor.
- B.A., Dartmouth College 2010
  - Major in Sociology and Religion.

## Contact Information

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