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## Justin Pierre-Louis, J.D.

Regulatory Consultant

Financial Services; Global Regulations; TMPG; FHFA Single Security; FINRA 4210; Project Management  
Greater New York City Area

### Executive Summary

- Business analyst experienced in regulatory change management within complex financial systems.
- Trained in Project Management, including Agile, Waterfall. Well versed in data integration and reporting.

### Selected Key Projects

- FINRA 4210 Response/TMPG Reporting
  - Design and implementation of internal control framework covering 95% of accounts participating in covered agency transactions with the firm;
  - Point person for implementation strategy, leading multiple intra-bank departments in innovative alternative compliance approach;
  - Assessment of MSFTA contract terms, assuring compliance with FINRA 4210 and ensuring that counterparties are being required to margin effectively;
  - Remediation of accounts across an intra-bank network of systems, assuring that margining accounts are covered by MSFTA contracts;
  - Construction of quarterly TMPG Fed Report; development of a Business Requirements Document establishing steps towards completing the TMPG Report for the Federal Reserve Margining Summary Statistics.
- FHFA Single Security Initiative
  - Project design for the facilitation of structural changes that will be necessary to comply with the regulation.
  - Project management of implementation initiatives allowing for compliance with regulatory deadlines.
  - Assistance with regulatory interactions including letter drafting and reports.
- Counterparty Due Diligence Project
  - Researched and analyzed hedge fund registration and formation requirements from six jurisdictions, covering approximately 20 entity types, facilitating cost-effective due diligence for channel partners.
  - Created use-cases across three work streams to automate counterparty onboarding validation for AML/KYC compliance and mitigate the need for third-party verification.
- Comprehensive Capital Adequacy Requirements (CCAR)
  - Coordinated three work streams globally over a four-week timeframe to expedite production of informational collateral for new marketing opportunities.



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## Skills

- Microsoft Office, including Excel pivot tables; v-lookup, PowerPoint Project Updates; Steering Committee Decks.
- Marketing, SEO including url optimization and meta-tag/meta-description messaging, content repurposing, top-funnel to bottom-funnel prospect analysis.
- Project Management, Agile Scrum

## Prior Employment:

- Securities Regulation Symposium, New York, NY June 2014-August 2014  
Research Assistant
  - Synthesized market intelligence on crowdfunding done in the U.S., Europe, and Asia.
  - Built a two-part report summarizing proposed changes, including Asia's pushback against crowdfunding rules, as well as Europe's experimentation with crowdfunding models.
- Brickman, Leonard and Bamberger, P.C., New York, NY April 2011-July 2012  
Paralegal
  - Maintained file of 1000+ bank records to track respondents' post-bankruptcy financial activities for pending litigation.
  - Maintained database of 10,000+ financial documents utilized in litigation to establish transactional records for clients and opposing counsel.

## Education:

- 8of9 Regulatory Executive Education Program
  - AML/KYC, Basel, BRRD, Capital Markets, Capital and Collateral Management, CCAR, EMIR, FATCA/GATCA, ISDA, MiFID, Project Management and Planning, Recovery and Resolution Planning, SPV/SPE, TMPG Margining/FINRA 4210.
- J.D., Tulane University Law School, May 2015
  - Honors received in the Tulane Trial Advocacy Program.
- B.A., Brandeis University, Waltham, Massachusetts, May 2010
  - Major in English, Minors in Law and African and African-American Studies.

## Contact Information

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